

# Sector & Profitability Select

FACTSHEET | 2020 | Q3



**\$200,000 MINIMUM INVESTMENT**

## INVESTMENT APPROACH

The "S&P Select" portfolio seeks to provide large cap US equity exposure close to that of the Standard & Poor's 500 Index. This individual stock portfolio holds 60 large cap companies chosen from the S&P 500 index.

The S&P Select is designed to seek minimal dispersion from the S&P 500 benchmark. It holds 60 equally weighted stocks that are selected by sector and profitability constraints to control dispersion of returns due to sector exposure and provide a tilt towards companies with positive earnings.

## PORTFOLIO FACTS

9/30/2020 AUM: \$17.2 Million

9/30/2020 Dividend Yield: 2.31%

Underlying Expense Ratio: 0%

Reinvested Dividends

## KEY PORTFOLIO ATTRIBUTES



### Disciplined Investing

Quantitative rankings dictate stock selection



### Profitability Focus

Profitable companies have been shown to potentially outperform over full market cycles



### Sector Diversified

Sector limits are employed to limit deviation from the S&P 500's sector distribution



### Loss Harvesting

Annual loss harvest can potentially boost portfolio's after-tax return



### Annually Reallocated

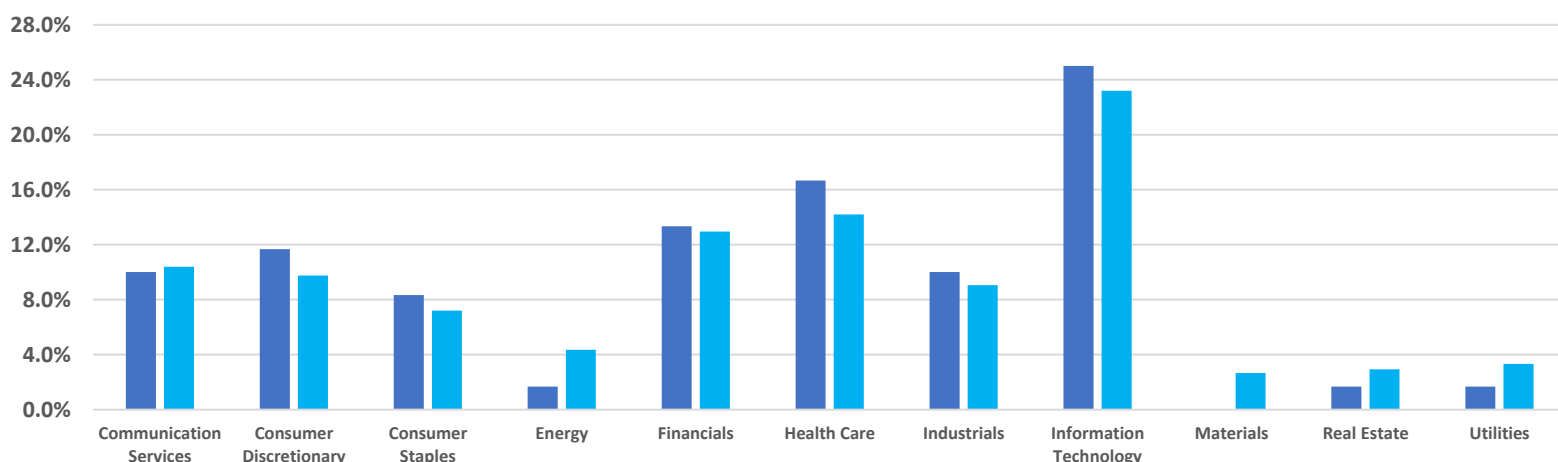
This portfolio is rebalanced and reallocated annually.

## RETURN DATA - Net of Highest Fee (1.65%)

Strategy	2020 Q3	Since Incept.	Inception
Sector & Profitability Select	8.95%	18.83%	1/1/2019

## Comparative Sector Allocation Characteristics

2020 S&P Select vs. S&P 500 Sector Breakdown



\*As a result of profitability screens and rounding, not all sectors are always represented in model\*

■ S&P Select ■ S&P 500 Index

Source: Bloomberg

## Top 10 Current Portfolio Names

Rank	Ticker	Name	Weight	Rank	Ticker	Name	Weight
1	NVDA	NVIDIA Corp	3.55%	6	ADBE	Adobe Inc	2.28%
2	PYPL	PayPal Holdings Inc	2.77%	7	LOW	Lowe's Companies Inc	2.17%
3	AAPL	Apple Inc	2.41%	8	DHR	Danaher Corp	2.17%
4	NFLX	Netflix Inc	2.31%	9	TMO	Thermo Fisher Scientific Inc	2.11%
5	UPS	United Parcel Service Inc	2.28%	10	MSFT	Microsoft Corp	2.07%

**\*Holdings as of last quarter end**

Performance results are presented in U.S. dollars and include reinvestment of dividends. Portfolio performance is calculated and derived from our internal proprietary systems and return figures are shown net of management fees and internal expenses. No current or prospective client should assume future performance of any specific investment strategy will be profitable or equal to past performance levels. ARGI Investment Services began offering portfolio on 1/1/2019.

All investment strategies have the potential for profit or loss. Changes in investment strategies, contributions or withdrawals may cause the performance results of your portfolio to differ materially from the reported composite performance. Different types of investments involve varying degrees of risk, and there can be no assurance that any specific investment will either be suitable or profitable for a client's investment portfolio.

Diversification and asset allocation help you spread risk throughout your portfolio, so investments that do poorly may be balanced by others that do relatively better. Neither diversification nor asset allocation can ensure a profit or protect against a loss.

Economic factors, market conditions, and investment strategies will affect the performance of any portfolio and there are no assurances that it will match or outperform any particular benchmark. ARGI Investment Services (AIS) is registered as an investment advisor with the United States Securities Exchange Commission. SEC registration does not constitute an endorsement of the firm by the Commission nor does it indicate that the advisor has attained a particular level of skill or ability. These SMA accounts allow for client specific customization as needed. This document is neither a solicitation nor an offer to sell any securities.

An investor should consider their Model Portfolio's investment objectives, risks, charges and expenses carefully before investing or sending money. This and other important information about the AIS Managed Portfolios can be found in the firms' ADV. If you would like additional information on any of AIS' Managed Investment Strategies contact Jan Peebles, Chief Compliance Officer or Dan Cupkovic, Director of Investments at 502-753-0609. Some clients of AIS experience different performance results than the model portfolio due to unique situations including cash distribution requirements, unique non-model holdings, and additional situations particular to an individual client.

The "Model Portfolios" listed above represent a fictional account which AIS attempts to manage in a manner similar to that of a tax-exempt client fund with no particular need for special portfolio considerations. AIS' management fees are described in Part 2A of AIS's Form ADV. An investment in any AIS model portfolio is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Advisory services offered through ARGI Investment Services, LLC, a Registered Investment Adviser.

Research mentioned includes: "Your Complete Guide to Factor-Based Investing." (Berkin, Andrew and Larry Swedroe); "Yes, earnings do drive stock prices." (Dreschler, Freda Song and Turner, Bob).

Charts should not be used to make investment decisions and are for informational purposes only.

### ARGI's Investment Process



### REGISTERED INVESTMENT ADVISOR

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